

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

From: Muska, Scott <Scott.Muska@ct.gov>
Sent: Tuesday, August 23, 2022 3:51 PM
To: Muska, Scott <Scott.Muska@ct.gov>
Subject: PURA Compliance Filing Process

EXTERNAL SENDER: Be cautious, especially with links and attachments. Report phishing if suspicious.

To Connecticut Regulatory Counsel,

I am sending this correspondence to address some confusion that has arisen with regard to compliance filings and the Authority's response to compliance filings. The confusion is understandable since the process has yet to be codified and has evolved over the years depending upon Authority staffing. To reduce any ambiguity as to the current process and until a more formal codification, the following is a brief description of how compliance filings should be managed by docket participants:

Compliance filings are those submissions required of a party, typically via an enumerated order in a decision, that do not expressly require Authority approval. Compliance filings are to be made in accordance with the specific terms of the order. The Authority will review compliance filings but will not confirm receipt or otherwise indicate an approval or rejection of the compliance filing. If a compliance filing is deficient, the Authority will contact the party or issue an appropriate notice or correspondence. No further action is required of the filing party unless otherwise expressly directed by the Authority. Parties should refrain from contacting the Authority to inquire about a response to a compliance filing as there will generally not be one (assuming the filing conforms to the order).

By contrast, filings requiring the approval of the Authority (by statute, regulation, or Authority order) must be submitted as part of a motion that identifies the relevant statute, regulation, or order and explains the basis for the Authority granting approval. The Authority will review the motion and

respond with an approval, denial, or other disposition. The motion ruling will state what further actions, if any, are required of the moving party.

Please let me know if you have any questions regarding this guidance.

Regards,

Scott Muska
General Counsel | Legal Director
Public Utilities Regulatory Authority
P: 860.827.2853 | E: scott.muska@ct.gov